



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/634,415 | 08/05/2003 | 3624 | 438 | 03-767 | X 6 | 27 | 3 |

CONFIRMATION NO. 7943

Brian R. Harris
 McDonnell Boehnen Hulbert & Berghoff
 32nd Floor
 300 S. Wacker Drive
 Chicago, IL 60606

FILING RECEIPT



OC000000011154271

Date Mailed: 10/31/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ryan J. Highland, Chicago, IL;
 Robert A. West, Chicago, IL;
 Peter F. Ziemkiewicz, Chicago, IL;

DOCKETED

NOV 04 2003

DUE DATE:

BY:

Domestic Priority data as claimed by applicant

This application is a CIP of 10/260,644 09/30/2002

Foreign Applications

If Required, Foreign Filing License Granted: 10/31/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

System and method for price-based annotations in an electronic trading environment



Hon. Commissioner of Patents and Trademarks S/N: TBA

Atty BRH

Re: Applicant - Highland, et al.

Case No. 03-767

**SYSTEM AND METHOD FOR PRICE-BASED
ANNOTATIONS IN AN ELECTRONIC TRADING ENVIRONMENT**

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- ☒ Utility Patent Application Transmittal Letter
- ☒ Specification (40 sheets including 27 claims)
- ☒ Drawings (6 sheets)
- ☒ Declaration and Power of Attorney
- ☒ Patent Application Data Sheet
- ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ Recordation Form Coversheet and Assignment

Express Mail No. ✓

EV333551070US

Fee Enclosed: \$ 478.00

Mailed: August 5, 2003

Respectfully,
McDonnell Boehnen Hulbert & Berghoff
Attorney for Applicant

